

請填妥表格發送/ 交至客服部, 電郵 cs@dfzq.com.hk

地址: 香港中環皇后大道中 100 號 100QRC 29/F

Cut-Off Time 截止處理時間: 2:00PM

Settlement Instruction Form 交收指示表格

☒ Foreign Market 外國股票市場

Contact No 聯絡電話: 35191104

Email 電郵: opts-settlement@dfzq.com.hk

Client Name 客戶名稱	:	Reference Number 內部編號	:
Client No. 客戶號碼	:	Date 日期	:

Please *tick as appropriate 請在正確擇項的方格中加剔號

<input type="checkbox"/> Deliver the following stocks to counterparty 請將下述股票轉出到下列交易對手	<input type="checkbox"/> Receive the following stocks from counterparty 請從交易對手收取下述股票
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☐ 是否有對付款項? ☐ No, 無 ☐ Yes, and the amount is _____

Settlement Date / Value Date 交收日: _____

Stock Code 股票號碼	ISIN 國際標識/ Market 市場	Stock Name 股票名稱	Quantity 股數

Counter Party Details 交易對手詳情

Broker Name 證券商名稱			
DTC Code (US) / CDP No./ DA Code (SGX) 證券商編號			
Account No. 於對手方的客戶帳號	(Optional)		
Contact Person 聯絡人		Contact No. 聯絡電話	
Remarks 備註:			

CLIENT DECLARATION – Please read the following statement carefully.

Transfer with no change of beneficiary owner

Are any of the stocks above classified as “control/ restricted securities”?

Are you an “affiliate*” of any companies listed above?

<input type="checkbox"/> Confirmed	<input type="checkbox"/> Not Confirmed
<input type="checkbox"/> Yes	<input type="checkbox"/> No
<input type="checkbox"/> Yes	<input type="checkbox"/> No

Note: Please be reminded to give proper instruction to your broker/ custodian bank for this transfer. By signing this instruction, the account holder(s) agree to pay for all relevant handling fee (if any) necessary to complete the transfer.

*According to SEC Rule 144, and affiliate is a person, such as an executive officer, a director or large shareholder or legal entity, who may influence control with the issuer. Control means the power to direct the management and policies of the company in question, whether through the ownership of voting securities, by contract, or otherwise.

S.V

客戶簽署(請用留存本公司之印鑒簽署)

Client's Signature (please use signature(s) Chop(s) file with the Company)

Office Use Only:	Approved By:	Handled By:	Checked By:
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