

Please send by email or by post, Address: 29/F, 100 Queen's Road Central, Hong Kong

請填妥表格發送/ 交至客服部, 電郵: cs@dfzq.com.hk; 地址: 香港中環皇后大道中 100 號 100QRC 29/F

Cut-Off Time 截止處理時間: 2:00PM

Settlement Instruction Form 交收指示表格

 Foreign Market 外國股票市場

Contact No 聯絡電話: 35191104

Email 電郵: opts-settlement@dfzq.com.hk

Client Name 客戶名稱 : _____ Ref. No. 編號 : _____
Client No. 客戶號碼 : _____ Date 日期 : _____

Please *tick as appropriate 請在正確選項的方格中加剔號

- Deliver** to counterparty 請轉出到下列交易對手 **Receive** from counterparty 請從交易對手收取
 無需付款 Free of Payment 貨銀對付 Deliver Against Payment
Amount (DVP/RVP) 金額 : _____

Settlement Date / Value Date 交收日: _____

Stock Code 股票號碼	ISIN 國際編號/ Market 市場	Stock Name 股票名稱	Quantity 股數

Counterparty Details 對手詳情

Counterparty Name 對手方名稱:			
DTC Code (US)/ CDP No./ DA Code (SGX) 對手方編號:			Client A/C No. 於對手方的帳號:
Contact Person 聯絡人:	Contact No./ Email 聯絡方式:		
Remarks 備註:			

CLIENTDECLARATION—Please read the following statement carefully.

Transfer with no change of beneficiary owner

Are any of the stocks above classified as "control/ restricted securities"?

Are you an "affiliate*" of any companies listed above?

- Confirmed Not Confirmed
 Yes No
 Yes No

Note: Please be reminded to give proper instruction to your broker/ custodian bank for this transfer. By signing this instruction, the account holder(s) agree to pay for all relevant handling fee (if any) necessary to complete the transfer.

*According to SEC Rule 144, and affiliate is a person, such as an executive officer, a director or large shareholder or legal entity, who may influence control with the issuer. Control means the power to direct the management and policies of the company in question, whether through the ownership of voting securities, by contract, or otherwise.

S.V

客戶簽署(請用留存本公司之印鑒簽署) Client's Signature (please use signature(s) Chop(s) file with the Company)

Office Use Only:		CP Confirmation [Date, Time & Ext./ Remarks]	
<input type="checkbox"/> RL1*			
CS/AE/RM	FO Approval*	[LCD]*	[Ops] Maker & Checker: