

## 内地投资者声明书（仅适用于个人客户）

### **Chinese Mainland Investor Declaration (Individual Clients Only)**

本人 / 吾等（下称「本人」）就于贵持牌法团开立及 / 或维持投资帐户，谨此作出以下声明、确认及承诺：

I/We (hereinafter referred to as the "Investor") hereby make the following declarations, confirmations and undertakings in connection with the opening and/or maintenance of an investment account with your licensed corporation:

1. 本人确认，所有用以支持本人投资活动及相关结算的资金，均来自中国内地以外的合法来源。  
I/We confirm that all funds used to support my/our investment activities and related settlement are derived from lawful sources outside Mainland China.
2. 本人确认，本人从未因曾使用可疑或伪造文件，而导致本人于任何持牌法团或银行开立的任何帐户被关闭、暂停、限制使用或施加任何类似限制措施。  
I/We confirm that I/we have not had any account maintained with any licensed corporation or bank closed, suspended, restricted, or made subject to any similar limitation as a result of the use of questionable or forged documents.
3. 本人承诺，如本书面声明所载任何资料或情况有任何更改，本人将于有关更改发生后七（7）个营业日内，以书面方式通知贵持牌法团。  
I/We undertake that, if there is any change to any information or circumstances set out in this written declaration, I/we will notify your licensed corporation in writing within seven (7) business days after such change occurs.
4. 本人明白并确认，贵持牌法团可按任何执法机构、监管机构或其他有权机关的要求，披露本人的个人资料及其他相关资料。  
I/We understand and acknowledge that your licensed corporation may disclose my/our personal data and other relevant information upon the request of any law enforcement agency, regulatory authority or other competent authority.

本人确认本人已阅读、明白并同意上述声明、确认及承诺的全部内容，并确认上述资料均属真实、完整及准确。

I/We confirm that I/we have read, understood and agreed to all the above declarations, confirmations and undertakings, and confirm that the information provided above is true, complete and accurate.

姓名  
Name :

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签署  
Signature :

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日期  
Date :

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